

04-06-01

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IN THE UNITED STATES PATENT AND TRADEMARK

Box New Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No.: 16405-0013

FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of : Chun-Mai Liu, Kung-Yen Su, Albert V. Kordesch, and Ping Guo.

For: "METHOD OF FABRICATING HIGH-COUPPLING RATIO SPLIT GATE
FLASH MEMORY CELL ARRAY"

ENCLOSURES

- ☒ 17 page application including specification, claims and abstract;
- ☒ 5 sheets (Figs. 1 - 4) of ☐ informal/☒ formal drawings;
- ☒ A Declaration, Power of Attorney & Petition (☒ signed/☐ unsigned);
- ☒ A postcard for return to us as proof of receipt of the referenced documents.

and

- ☒ An Assignment of the invention with an assignment cover sheet;
- ☐ Applicant claims small entity status (Under 37 CFR 1.27);
- ☐ IDS (form PTO-1449) and copies of references;
- ☐ Other: Request and Certification Under 35 USC 122(b)(2)(B)(i).

TYPE OF FILING

- ☐ This application claims the benefit of an earlier filed U.S. Patent Application Serial Number ____ filed ____ (35 USC 120).
- ☒ This application claims the benefit of the priority date of an earlier filed U.S. Provisional Patent Application Serial 60/214,835, filed June 28, 2000 (35 USC 119).
- ☐ This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- ☒ In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

CERTIFICATE OF MAILING (37 CFR 1.10(A))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EL74515705US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on April 3, 2001 by Yvette Yturralde-Owen.

Date: April 3, 2001

Yvette Yturralde-Owen

FEE CALCULATION

The filing fee has been calculated as shown below:

				SMALL ENTITY	OR	OTHER THAN A SMALL ENTITY	
BASIC FEE Design Patent				\$160	\$0	\$320	\$0
BASIC FEE Utility Patent				\$355	\$0	\$710	\$710
EXTRA FEES				RATE	\$0	RATE	FEE
Total claims	20	minus 20 =	0	x9 =	\$0	x18 =	\$0
Independent Claims	3	minus 3 =	0	x40 =	\$0	x80 =	\$0
<input type="checkbox"/> Multiple Dep. Claim				+130 =	\$0	+260 =	\$0
<input checked="" type="checkbox"/> Assignment				+40 =	\$0	+40 =	\$40
<input type="checkbox"/> Rule 53 Surcharge				+65 =	\$0	+130 =	\$0
TOTAL					\$0		\$750

FEE PAYMENT

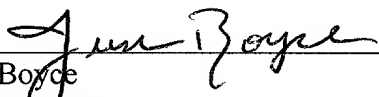
- ☒ Attached is Check No. 125580 in the sum of \$750.⁰⁰ for the cost of the filing fee & recordation fee.
- ☐ Please charge Account No. 02-3964 the sum of \$_____

FEE DEFICIENCY

- ☐ Please charge Account No. 02-3964 in the sum of \$_____
- ☐ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. 02-3964:
- ☐ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.
- ☐ Assignment Recordal fees.
- ☒ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 02-3964.
- ☐ A duplicate copy of this sheet is enclosed.

Dated: April 3, 2001

Respectfully submitted,


Justin Boyce
Reg. No. 40,920

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